

Electronic Acknowledgement Receipt

EFS ID:	4584613
Application Number:	10680010
International Application Number:	
Confirmation Number:	5467
Title of Invention:	Methods, systems and securities for assuring a company an opportunity to sell stock after a specified time
First Named Inventor/Applicant Name:	Emerson P. Jones
Customer Number:	32361
Filer:	Barry J. Schindler/Christine Lucas
Filer Authorized By:	Barry J. Schindler
Attorney Docket Number:	06878.114601
Receipt Date:	09-JAN-2009
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Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		jones_am.pdf	2393338 f2ddae58fa5bd5dd3288190a62dc622b2f02db0	yes	53
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Miscellaneous Incoming Letter		1	1	
	Extension of Time		2	2	
	Amendment/Req. Reconsideration-After Non-Final Reject		3	3	
	Specification		4	4	
	Claims		5	7	
	Applicant Arguments/Remarks Made in an Amendment		8	13	
	Information Disclosure Statement Letter		14	14	
	Information Disclosure Statement (IDS) Filed (SB/08)		15	21	
	NPL Documents		22	24	
	NPL Documents		25	33	
	NPL Documents		34	37	
	NPL Documents		38	40	
	NPL Documents		41	41	
	NPL Documents		42	43	
	NPL Documents		44	45	
	NPL Documents		46	47	

	NPL Documents	48	52
	NPL Documents	53	53

Warnings:

Information:

2	Fee Worksheet (PTO-06)	fee-info.pdf	32040	no	2
			06652cc477a5f729c7c55dbd2c78a590ef7be336		

Warnings:

Information:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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